

3-16-00

EL465682114

03/14/00
Jc780 U.S. PTOPlease type a plus sign (+) inside this box → ☒PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. MI40-285
First Inventor or Application Identifier Mark E. Tuttle
Title Wireless Communication Devices, ...
Express Mail Label No. EL465682114US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*** NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231


5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ * Small Entity Statement filed in prior application (PTO/SB/09-12) ☐ Status still proper and desired
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Check

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/920,329
Prior application information: Examiner J. Jeanglaude Group / Art Unit: 2735**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 021567 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	James D. Shaurette	Registration No. (Attorney/Agent)	39,833
Signature		Date	3/13/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. Unknown
 Filing Date Filed Herewith
 Inventor Mark E. Tuttle
 Assignee Micron Technology, Inc.
 Group Art Unit Unknown
 Examiner Unknown
 Attorney's Docket No. Mi40-285
 Title: Wireless Communication Devices, Radio Frequency
 Identification Devices, Methods of Forming a Wireless
 Communication Device, and Methods of Forming a Radio
 Frequency Identification Device

PRELIMINARY AMENDMENT

To: Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

From: James D. Shaurette (Tel. 509-624-4276; Fax 509-838-3424)
 Wells, St. John, Roberts, Gregory & Matkin P.S.
 601 West First Avenue, Suite 1300
 Spokane, WA 99201-3828

Sir:

Please enter the following amendments prior to examining the
 above-identified application.

AMENDMENTS

In the Title

Please replace the title with the following: --WIRELESS
 COMMUNICATION DEVICES, RADIO FREQUENCY
 IDENTIFICATION DEVICES, METHODS OF FORMING A WIRELESS

1 COMMUNICATION DEVICE, AND METHODS OF FORMING A
2 RADIO FREQUENCY IDENTIFICATION DEVICE--.

3
4 In the Specification

5 At p. 1 before the "Technical Field" section, please insert the
6 following:

7
8 --RELATED PATENT DATA

9 This patent resulted from a continuation application of U.S. Patent
10 Application Serial No. 08/920,329, filed August 20, 1997, entitled "Cards,
11 Communication Devices, and Methods of Forming and Encoding Visibly
12 Perceptible Information on the Same", naming Mark E. Tuttle as
13 inventor, the disclosure of which is incorporated by reference.--

14
15 In the Claims

16 Cancel claims 1-49 without prejudice.

17
18 New Claims

19 Add new claims 50-85 as follows:
20
21
22
23
24

1 53. A wireless communication device comprising:
2 a housing including an upper surface, a lower surface, and at least
3 one side intermediate the upper surface and the lower surface and
4 having a dimension less than smallest dimensions of the upper surface
5 and the lower surface, and the at least one side surface having visibly
6 perceptible indicia thereon; and

7 communication circuitry within the housing and the communication
8 circuitry being configured to communicate wireless signals.

9
10 54. The device according to claim 53 wherein the housing
11 comprises an encapsulant which contacts the communication circuitry.

12
13 55. The device according to claim 53 wherein the at least one
14 side surface has a dimension less than about 100 mils.

15
16 56. The device according to claim 53 wherein the communication
17 circuitry comprises radio frequency identification device circuitry.

1 57. A wireless communication device comprising:
2 a substrate having a support surface defined by at least one
3 perimetral edge;
4 communication circuitry elevationally over the support surface of
5 the substrate and configured to communicate wireless signals; and
6 an encapsulant elevationally over the support surface and
7 configured to encapsulate at least portions of the support surface of the
8 substrate and the communication circuitry, and wherein the encapsulant
9 and the substrate respectively define an upper surface and a lower
10 surface and have a thickness less than a smallest dimension of the at
11 least one perimetral edge, and the encapsulant includes visibly
12 perceptible indicia intermediate the upper surface and the lower surface.

13
14 58. The device according to claim 57 wherein the support
15 surface comprises a surface substantially in the shape of a rectangle.

16
17 59. The device according to claim 57 wherein the encapsulant
18 contacts at least portions of the support surface and the communication
19 circuitry.

20
21 60. The device according to claim 57 wherein the encapsulant
22 and the substrate have a thickness less than about 100 mils.

1 61. The device according to claim 57 wherein the communication
2 circuitry comprises radio frequency identification device circuitry.

3
4 62. A wireless communication device comprising:
5 communication circuitry configured to communicate wireless signals;
6 and

7 an encapsulant configured to encapsulate and contact at least a
8 portion of the communication circuitry, wherein the encapsulant defines
9 at least one side surface and the at least one side surface has visibly
10 perceptible information thereon.

11
12 63. The device according to claim 62 wherein the encapsulant
13 has a thickness less than about 100 mils.

14
15 64. The device according to claim 62 wherein the communication
16 circuitry comprises radio frequency identification device circuitry.

68. A radio frequency identification device comprising:

a substrate having a support surface;

radio frequency identification device circuitry elevationally over the support surface and configured to communicate wireless signals;

a power source elevationally over the support surface and coupled with the radio frequency identification device circuitry;

an antenna elevationally over the support surface and coupled with the radio frequency identification device circuitry; and

an encapsulant contacting at least portions of the support surface, the radio frequency identification device circuitry, the power source and the antenna, wherein the encapsulant and the substrate form a housing having an upper surface and a lower surface interconnected by at least one side surface, and the at least one side surface has a dimension less than smallest dimensions of the upper and lower surfaces, and the at least one side surface includes visibly perceptible indicia.

1 69. A method of forming a wireless communication device
2 comprising:

3 providing communication circuitry configured to communicate
4 wireless signals;

5 providing a housing including an upper surface, a lower surface
6 and at least one side surface about the communication circuitry, the at
7 least one side surface has a dimension less than smallest dimensions of
8 the upper surface and the lower surface; and

9 providing visibly perceptible indicia on the at least one side
10 surface.
11

12 70. The method according to claim 69 wherein the providing the
13 housing comprises encapsulating at least a portion of the communication
14 circuitry with an encapsulant.
15

16 71. The method according to claim 70 wherein the encapsulating
17 comprises contacting at least the encapsulated portion of the
18 communication circuitry with the encapsulant.
19

20 72. The method according to claim 69 wherein the at least one
21 side surface has a dimension less than about 100 mils.
22
23
24

1 73. The method according to claim 69 wherein the providing
2 communication circuitry comprises providing radio frequency identification
3 device circuitry.

4
5 74. A method of forming a wireless communication device
6 comprising:

7 providing a substrate having a support surface defined by at least
8 one perimetral edge;

9 providing communication circuitry elevationally over the support
10 surface of the substrate and configured to communicate wireless signals;

11 encapsulating at least portions of the support surface of the
12 substrate and the communication circuitry using an encapsulant, the
13 encapsulant and the substrate respectively define an upper surface and
14 a lower surface and have a thickness less than a smallest dimension of
15 the at least one perimetral edge; and

16 providing visibly perceptible indicia on the encapsulant intermediate
17 the upper surface and the lower surface.

18
19 75. The method according to claim 74 wherein the providing the
20 substrate comprises providing the substrate having a substantially
21 rectangular shape.

1 76. The method according to claim 74 wherein the encapsulating
2 comprises contacting at least the encapsulated portions of the support
3 surface of the substrate and the communication circuitry with the
4 encapsulant.

5
6 77. The method according to claim 74 wherein the encapsulant
7 and the substrate have a thickness less than about 100 mils.

8
9 78. The method according to claim 74 wherein the providing
10 communication circuitry comprises providing radio frequency identification
11 device circuitry.

12
13 79. A method of forming a wireless communication device
14 comprising:

15 providing communication circuitry configured to communicate
16 wireless signals;

17 encapsulating at least a portion of the communication circuitry with
18 an encapsulant which contacts at least the encapsulated portion of the
19 communication circuitry, the encapsulant forming at least one side
20 surface; and

21 providing visibly perceptible indicia upon the at least one side
22 surface of the encapsulant.

1 80. The method according to claim 79 wherein the at least one
2 side surface of the encapsulant has a dimension less than about 100
3 mils.

4
5 81. The method according to claim 79 wherein the providing
6 communication circuitry comprises providing radio frequency identification
7 device circuitry.

8
9 82. A method of forming a radio frequency identification device
10 comprising:

11 providing radio frequency identification device circuitry configured
12 to communicate wireless signals;

13 providing a housing including an upper surface, a lower surface,
14 and at least one side surface about the communication circuitry, the at
15 least one side surface having a dimension less than about 100 mils; and

16 providing visibly perceptible indicia on the at least one side
17 surface.

18
19 83. The method according to claim 82 wherein the providing the
20 housing comprises providing an encapsulant over at least a portion of
21 a support surface of a substrate.

1 84. The method according to claim 83 wherein the encapsulant
2 contacts at least portions of the support surface and the radio frequency
3 identification device circuitry.

4
5 85. A method of forming a radio frequency identification device
6 comprising:

7 providing radio frequency identification device circuitry configured
8 to communicate wireless signals;

9 coupling a power source with the radio frequency identification
10 device circuitry;

11 coupling an antenna with the radio frequency identification device
12 circuitry;

13 providing a housing including an upper surface, a lower surface
14 and at least one side surface about at least portions of the radio
15 frequency identification device circuitry, the power source and the
16 antenna, the at least one side surface having a dimension less than
17 smallest dimensions of the upper surface and the lower surface; and

18 providing visibly perceptible indicia on the at least one side
19 surface.
20
21
22
23
24

REMARKS

Applicant has cancelled claims 1-49. Applicant hereby adds new claims 50-85 for full consideration by the Examiner.

The Examiner is requested to phone the undersigned if the Examiner believes such would facilitate prosecution of the present application. The undersigned is available for telephone consultation at any time during normal business hours (Pacific Time Zone).

Respectfully submitted,

Dated:

3/13/00

By:



James D. Shaurette

Reg. No. 39,833

EM0 5334092

EL465682114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

* * * * *

CARDS, COMMUNICATION DEVICES, AND
METHODS OF FORMING AND ENCODING
VISIBLY PERCEPTIBLE INFORMATION
ON THE SAME

* * * * *

INVENTOR

MARK E. TUTTLE

ATTORNEY'S DOCKET NO. MI40-081

1 TECHNICAL FIELD

2 The present invention relates to cards, communication devices, and
3 methods of forming and encoding visibly perceptible information on the
4 same.

5
6 BACKGROUND OF THE INVENTION

7 Cards such as credit cards, smart cards, badges, labels, stamps,
8 tags and electronic communication devices including radio frequency
9 identification device (RFID) cards typically include printed information
10 regarding the manufacture or issuance of the card on a face of the
11 card. The faces of such cards are normally used for advertising,
12 embossing, and providing signature panels, magnetic stripes, or end user
13 information. Alternatively, such information regarding the manufacture
14 or issuance of the card is not provided at all.

15 In many applications, providing of manufacturing or issuance
16 information upon one of the faces of the cards is not cosmetically
17 pleasing to the end user. However, this information is useful for
18 various reasons. For example, the printed information is valuable to
19 the end user for providing issuance information, security and/or tracking
20 of an associated product in many applications.

21 Therefore, there is a need to provide alphanumeric and other
22 information upon a card without impacting the cosmetic properties of
23 the card, or utilizing space which may be necessary for magnetic stripes,
24 signature lines, or other information.

1 SUMMARY OF THE INVENTION

2 One embodiment of the present invention provides a remote
3 intelligent communication device (RIC). The embodiment includes a
4 card-thin housing including at least one side having visibly perceptible
5 information thereon and communication circuitry within the housing and
6 configured to at least one of communicate (i.e., output) and receive
7 electronic signals. Other embodiments of the present invention provide
8 additional electronic communication devices including a radio frequency
9 identification device. The remote intelligent communication device and
10 radio frequency identification devices are wireless communication devices
11 according to preferred embodiments of the present invention.

12 A second aspect of the present invention provides a card having
13 plural surfaces, and a side intermediate the surfaces. The side has a
14 thickness less than about 100 mils. Indicia is provided on the side.

15 According to another aspect of the present invention, a
16 communication device is disclosed. The communication device includes
17 a substrate having a support surface, an antenna, transponder circuitry,
18 and a battery. A cured resin is provided upon the support surface, the
19 antenna, the transponder circuitry and the battery. The cured resin and
20 substrate form a housing. Identification indicia is encoded on at least
21 one of the side surfaces of the housing.

22 Another aspect of the present invention provides a method of
23 forming a card including moving at least one of a card and a print
24 head relative to the other of the card and print head. The method

1 additionally includes encoding visibly perceptible information on a side
2 of the card.

3 An additional aspect of the present invention provides a method
4 of forming a communication device. This method includes the steps of
5 providing a substrate, an antenna, and communication circuitry and
6 applying and curing an encapsulant to form a housing. The method
7 further includes encoding visibly perceptible information on a side
8 surface of the housing.

9 Another aspect provides a method of forming a remote intelligent
10 communication device. Additional methods according to the present
11 invention provide methods of encoding visibly perceptible information on
12 a communication device.

13 14 BRIEF DESCRIPTION OF THE DRAWINGS

15 Preferred embodiments of the invention are described below with
16 reference to the following accompanying drawings.

17 Fig. 1 is a block diagram of an electronic communication system
18 including an interrogator and an electronic communication device.

19 Fig. 2 is a front elevational view of the electronic communication
20 device.

21 Fig. 3 is a front elevational view of the electronic communication
22 device at an intermediate processing step.

1 Fig. 4 is an isometric view of the electronic communication device
2 of Fig. 2 having indicia on a side thereof in accordance with the
3 present invention.

4 Fig. 5 is an illustrative diagram of a first method and system for
5 providing indicia upon a side of the electronic communication device.

6 Fig. 6 is an illustrative diagram of another method and system for
7 providing indicia upon a side of the electronic communication device.
8

9 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

10 This disclosure of the invention is submitted in furtherance of the
11 constitutional purposes of the U.S. Patent Laws "to promote the
12 progress of science and useful arts" (Article 1, Section 8).

13 The present invention provides indicia or other visibly perceptible
14 information upon a side or edge of a card. The present invention is
15 described with reference to the providing of indicia upon a side of an
16 electronic communication device provided in the form of a card. Such
17 indicia may be applied to other types of cards, including, for example,
18 credit cards, smart cards, badges, tags, stamps and labels. In addition,
19 such indicia may be applied to any device having a card-thin housing.
20 As used herein, "card-thin" refers to a housing or other supportive
21 substrate having a thickness less than 200 mils, and preferably less than
22 100 mils.

23 The electronic communication device is fabricated in a card
24 configuration in the described embodiment. The described embodiment

of the electronic communication device discloses a wireless communication device. The embodiment is illustrative and other configurations of cards, and electronic communication devices within card-thin housings are possible. Exemplary embodiments of electronic communication devices within such housings comprise radio frequency identification devices (RFID) and remote intelligent communication devices (RIC). Remote intelligent communication devices are capable of functions other than the identifying function of radio frequency identification devices.

Referring to Fig. 1, an electronic communication device provided in a card configuration 10 comprises part of a wireless communication system 12. The illustrated communication system 12 further includes an interrogator unit 14. An exemplary wireless communication system 12 is described in U.S. Patent Application Serial Number 08/705,043, filed August 29, 1996, assigned to the assignee of the present application and incorporated herein by reference. An exemplary interrogator 14 is described in detail in U.S. Patent Application Serial Number 08/806,158, filed February 25, 1997, assigned to the assignee of the present application and incorporated herein by reference.

The electronic communication device or card 10 communicates via electronic signals with interrogator unit 14. Preferably, device or card 10 communicates with unit 14 via wireless electronic signals, such as radio frequency (RF) signals. Wireless electronic signals or radio frequency signals, which include microwave signals, are utilized for

communications in the preferred embodiment of communication system 12. Communication system 12 further includes an antenna 16 coupled to the interrogator unit 14 to facilitate communications. Electronic communication arrangements other than wireless are possible within the present invention.

Referring to Fig. 2, the illustrated card 10 includes an insulative first substrate or layer of supportive material 18. Example materials for substrate 18 comprise polyester, polyethylene or polyimide film having a thickness of 4-6 mils (thousandths of an inch). A plurality of ink layers (not shown) are applied to substrate 18 in other embodiments of the invention. Substrate 18 includes an outer periphery 21. The substrate 18 defines a first portion of a housing for the electronic communication device or card 10. A ground plane can be provided over substrate 18 to improve the wireless communications of card 10. A dielectric layer is ideally provided over the ground plane in such embodiments.

An exemplary card 10 includes an upper surface 40, a lower surface 42 (not shown in Fig. 2) opposite surface 40, and a plurality of side surfaces 41 intermediate upper and lower surfaces 40, 42. Inks can be used to convey information such as logos and/or company names, such as those illustrated at 11. The inks may be viewed upon upper surface 40 of card 10.

Referring to Fig. 3, card 10 is shown at an intermediate processing step. A patterned conductive trace 30 is formed or applied

over a support surface 25 of substrate 18. A preferred conductive trace 30 comprises silver ink or printed thick film (PTF). One manner of forming or applying the conductive ink is to screen print the ink on the support surface 25 of substrate 18 through conventional screen printing techniques. The conductive ink forms desired electrical connections with and between electronic components which will be described below. In instances where substrate 18 forms a portion of a larger roll of polyester film material, the printing of the conductive trace 30 can take place simultaneously for a number of the to-be-formed electronic communication devices.

The illustrated conductive trace 30 provides antennas 32, 34 which are suitable for respectively receiving and transmitting electronic signals or RF energy. The illustrated antenna 32 constitutes a loop antenna. Other antenna constructions are possible. In other exemplary embodiments, antenna 34 is omitted and antenna 32 is configured to both receive and transmit electronic signals.

Substrate 18 includes outer periphery 21 inside of which a portion, and preferably the entire antenna 32 extends or lies. In particular, the antenna 32 is preferably provided within the confines of periphery 21. According to one embodiment, antenna 32 has a length within the range of 80 mm - 95 mm and is tuned to a frequency of 2.45 GHz.

Conductive trace 30 additionally includes a plurality of power source terminals, including a first connection terminal 53 (shown in

phantom in Fig. 3) and a second connection terminal 58. Connection terminals 53, 58 are formed on support surface 25 of card 10.

The illustrated card 10 includes a power source 52 and integrated circuit 54 individually mounted on support surface 25 and supported by substrate 18. Power source 52 is provided within antenna 32 in the depicted embodiment. Passive components (e.g., capacitors 57) may also be mounted on support surface 25.

Power source 52 provides operational power to electrical components within card 10, including integrated circuit 54. In the illustrated embodiment, power source 52 is a battery. The battery is preferably a thin profile battery which includes first and second terminals of opposite polarity. More particularly, the battery has a lid or negative (i.e., ground) terminal or electrode, and a can or positive (i.e., power) terminal or electrode.

Conductive epoxy is applied over desired areas of the support surface 25 using conventional printing techniques, such as stencil printing, to assist in component attachment described just below. Alternately, solder or another conductive material is employed instead of conductive epoxy.

Power source 52 and integrated circuit 54 are provided and conductively bonded on the support surface 25 using the conductive epoxy. Integrated circuit 54 can be mounted either before or after the power source 52 is mounted on the support surface 25.

First and second connection terminals 53, 58 are coupled to the integrated circuit 54 by conductive epoxy in accordance with a preferred embodiment of the invention. The conductive epoxy also electrically connects the first terminal of the power source 52 to the first connection terminal 53. In the illustrated embodiment, power source 52 is placed lid down such that the conductive epoxy makes electrical contact between the negative terminal of the power source 52 and the first connection terminal 53.

Power source 52 has a perimetral edge 56, defining the second power source terminal, which is disposed adjacent second connection terminal 58. In the illustrated embodiment, perimetral edge 56 of the power source 52 is cylindrical, and the connection terminal 58 is arcuate and has a radius slightly greater than the radius of the power source 52, so that connection terminal 58 is closely spaced apart from the edge 56 of power source 52.

Subsequently, conductive epoxy is dispensed relative to perimetral edge 56 and electrically connects perimetral edge 56 with connection terminal 58. In the illustrated embodiment, perimetral edge 56 defines the can of the power source 52, such that the conductive epoxy connects the positive terminal of the power source 52 to connection terminal 58. The conductive epoxy is then cured.

First and second connection terminals 53, 58 are coupled with integrated circuit 54 providing operational power and an electrical ground reference thereto. Antenna 32 is coupled with the integrated

1 circuit 54 providing electrical connection therebetween for the transfer
2 of signals corresponding to the wireless electronic signals or RF energy
3 transmitted and received by antenna 32.

4 Integrated circuit 54 includes suitable communication circuitry for
5 providing wireless communications capabilities within electronic
6 communication device 10. For example, in one embodiment, integrated
7 circuit 54 includes a processor 62, memory 63, and transponder
8 circuitry 64 for providing wireless communications with interrogator
9 unit 14. An exemplary and preferred integrated circuitry package 54
10 is described in U.S. Patent Application Serial 08/705,043 incorporated by
11 reference above.

12 One embodiment of the communication circuitry or transponder
13 circuitry 64 includes a modulator and a receiver operable to respectively
14 communicate (i.e., output) and receive wireless electronic signals. The
15 processor 62 is coupled with transponder circuitry 64 and is configured
16 to process the electronic signals. Responsive to the detection of an
17 appropriate polling signal, processor 62 instructs modulator transponder
18 circuitry 64 to output a identification signal. The wireless electronic
19 signals are transmitted and received via antenna 32 in the illustrated
20 embodiment.

21 The receiver of transponder circuitry 64 is configured to receive
22 electronic (e.g., wireless) signals and the modulator is configured to
23 output or communicate electronic (e.g., wireless) signals. The modulator
24 comprises an active transmitter or a backscatter device according to

1 certain embodiments. Such outputting or communicating of the
2 electronic signals via the modulator comprises one of transmitting the
3 electronic signals and reflecting received signals. Typically, the
4 modulator is configured to communicate an identification signal
5 responsive to the reception of an appropriate polling signal.

6 The identification signal outputted via the modulator identifies the
7 particular card 10 communicating the identification signal in accordance
8 with one embodiment of the present invention. In one embodiment, the
9 identification signal corresponds to identification indicia (described in
10 detail below) encoded upon a side surface 41 of the card 10.

11 Referring to Fig. 4, an encapsulant 44, such as an encapsulating
12 epoxy resin material, is subsequently formed to encapsulate a portion
13 of the substrate 18. Resin encapsulant 44 covers integrated circuit 54,
14 power source 52, conductive circuitry 30, and a portion of the support
15 surface of substrate 18. Resin encapsulant 44 and substrate 18 define
16 the card-thin housing 27 of the card 10. Substrate 18 comprises the
17 upper surface 40 of card 10 and encapsulant 44 comprises the lower
18 surface 42 of card 10. In one embodiment, housing 27 of card 10 has
19 a length of about 3.375 inches, a width of about 2.125 inches, and a
20 thickness less than or equal to about 0.090 inches. The thickness of
21 the sides 41 is less than the lengths and widths of upper surface 40
22 and lower surface 42 in the described embodiment.

23 An exemplary resin encapsulant 44 is a flowable encapsulant. The
24 flowable encapsulant 44 is flowed to encapsulate substrate 18.

1 Encapsulant 44 is subsequently cured following the appropriate covering
2 of the integrated circuit 54, power source 52, conductive circuitry 30,
3 and support surface of substrate 18. The curing of encapsulant 44
4 forms a composite substrate or solid housing 27 which comprises
5 substrate 18 and encapsulant 44.

6 In the exemplary embodiment, such epoxy encapsulant 44
7 constitutes a two-part epoxy having a resin and a hardener which are
8 sufficient to provide a desired degree of flexible rigidity. Further
9 details regarding encapsulation of electronic communication device 10 are
10 described in U.S. Patent Application Serial Number 08/800,037, filed
11 February 13, 1997, assigned to the assignee of the present application,
12 and incorporated herein by reference.

13 Still referring to Fig. 4, some of the sides or side surfaces or
14 edge surfaces 41 of card 10 are shown. The sides 41 extend
15 intermediate upper surface 40 and lower surface 42. Substrate 18 and
16 encapsulant 44 form sides 41 of the illustrated card 10.

17 In accordance with the present invention, visibly perceptible
18 information 43 is provided upon one or more sides 41 of card 10. In
19 the depicted embodiment, visibly perceptible information 43 comprises
20 alphanumeric characters which are provided upon the encapsulant 44.
21 The illustrated visibly perceptible information 43 comprises identification
22 indicia. More specifically, the illustrated identification indicia identifies
23 the assignee of this patent application, and the date of manufacture and
24 a lot number corresponding to the particular card 10 upon which the

1 indicia is encoded. In particular, identification indicia can identify the
2 particular electronic communication device or card 10 upon which the
3 indicia is encoded. Visibly perceptible information 43 can additionally
4 include information regarding issuance of the card 10 for facilitating the
5 tracking thereof. Visibly perceptible information 43 can comprise more,
6 less or other information.

7 The height of the visibly perceptible information 43 is represented
8 by dimension "h" in Fig. 4. The height of visibly perceptible
9 information 43 can vary depending upon the dimensions of the specific
10 card 10.

11 For some card applications, a height of visibly perceptible
12 information 43 of less than 50 mils is utilized and preferred. In
13 particular, one exemplary card 10 comprising an electronic
14 communication device having a thickness less than 90 mils was provided
15 with visibly perceptible information 43 having a height less than 47 mils
16 encoded on a side thereof.

17 Information or indicia may be provided upon cards of other
18 dimensions according to other embodiments of the present invention.
19 For example, the visibly perceptible information 43 is provided upon
20 conventional cards, such as credit cards, according to the alternative
21 embodiments. Such cards are typically only 30 mils thick (in accordance
22 with the International Standards Organization).

23 One method of encoding the visibly perceptible information 43 on
24 the card 10 includes printing. The present invention encompasses

1 additional methods of providing the visibly perceptible information on
2 sides 41 of a card 10. In particular, encoding comprises scribing or
3 embossing the visibly perceptible information 43 onto the sides 41 of
4 the card 10 in other embodiments.

5 Referring to Fig. 5 and Fig. 6, two exemplary methods of
6 providing the visibly perceptible information 43 upon at least one of the
7 sides 41 of the cards 10 are shown. The depicted methods provide for
8 printing the visibly perceptible information 43 onto the sides of the
9 cards 10.

10 Referring to Fig. 5, a printing station 72 comprises a holding
11 apparatus 61 and a print head 66 configured to print visibly perceptible
12 information 43 upon side surface 41 of the card 10. Apparatus 61
13 comprises a base support structure 67 and a holding member 69. The
14 illustrated base support structure 67 includes a raised edge 65 for
15 assisting with the holding of the card 10 to be processed. Holding
16 member 69 is provided in an opposing relation to raised edge 65 and
17 is configured hold a card 10 in preferably perpendicular or upright
18 position therebetween.

19 Holding member 69 is configured to move toward and away from
20 raised edge 65 to respectively hold the card 10 for printing, or permit
21 removal of a processed card 10 or insertion of a card 10 to be
22 processed. Holding member 69 and edge 65 operate to support the
23 card 10 at one side thereof.
24

Following the fixation of card 10 within apparatus 61, a print head 66 is lowered toward another side 41 of card 10, opposite the supported side thereof. Print head 61 is operable to encode the visibly perceptible information 43 upon side surface 41 of card 10.

Additional print heads may be provided to simultaneously print on plural side surfaces 41 of card 10. The card 10 to be processed remains stationary during the printing thereon by the print head 66 shown in Fig. 5. The depicted holding member 69 is shorter than the card 10 enabling printing on the upper side 41 thereof. Alternatively, holding member 65 is approximately the same length, or slightly less than the length of card 10.

Referring to Fig. 6, an alternative printing station 72a is shown. The cards to be processed are preferably provided in a pre-arranged orientation or stack 68. The stack 68 is provided adjacent a selection roller 73 operable to select a card 10 from stack 68 for processing. One card 10 may be selected while the other cards remain in the pre-arranged stack 68.

Following the selection of a card 10 via roller 73, the selected card 10 is guided to a pair of driving processing rollers 70 of printing station 72a. Card 10 is provided intermediate processing rollers 70 which subsequently draw card 10 toward print head 66. Print head 66 is positioned adjacent to one side of travel of card 10 provided by processing rollers 70. In this illustrated printing method, processing rollers 70 move card 10 relative to or past stationary print head 66

1 during the printing of visibly perceptible information 43 on the side
2 surface 41 thereof.

3 Printing stations 72, 72a include an X-Y grid ink jet plotter
4 according to one aspect of the present invention. More specifically, an
5 exemplary print head 66 comprises a Videojet EXCEL High Resolution
6 Model, available from Video Jet of Woodale, Illinois.

7 The visibly perceptible information or indicia 43 is provided upon
8 encapsulant 44. Numerous conventional cards (e.g., credit cards) *Mark In*
9 comprise materials such as polyvinyl chloride (PVC) or ^{ABS}~~ABC~~ Such *8-13-97*
10 materials allow standard inks to be used for printing the visibly
11 perceptible information 43 on sides 41 of cards 10 by ink jet printing
12 or pad printing.

13 In compliance with the statute, the invention has been described
14 in language more or less specific as to structural and methodical
15 features. It is to be understood, however, that the invention is not
16 limited to the specific features shown and described, since the means
17 herein disclosed comprise preferred forms of putting the invention into
18 effect. The invention is, therefore, claimed in any of its forms or
19 modifications within the proper scope of the appended claims
20 appropriately interpreted in accordance with the doctrine of equivalents.
21
22
23
24

CLAIMS:

1. A remote intelligent communication device comprising:
a card-thin housing including:
an upper surface;
a lower surface; and
at least one side extending between the upper surface and
the lower surface forming the card-thin housing, the side having visibly
perceptible information thereon; and
communication circuitry within the housing configured to at least
one of communicate and receive electronic signals.
2. The remote intelligent communication device according to
claim 1 wherein the housing comprises a substrate and an encapsulant.
3. The remote intelligent communication device according to
claim 2 wherein the substrate comprises the upper surface and the
encapsulant comprises the lower surface.
4. The remote intelligent communication device according to
claim 1 wherein the card-thin housing has a thickness less than about
100 mils.

1 5. The remote intelligent communication device according to
2 claim 1 further comprising a processor within the card-thin housing and
3 coupled with the communication circuitry.

4
5 6. A radio frequency identification device comprising:
6 a housing including:
7 an upper surface;
8 a lower surface; and
9 at least one side intermediate the upper surface and the
10 lower surface, the side having visibly perceptible information thereon;
11 and
12 communication circuitry within the housing and the communication
13 circuitry being configured to at least one of communicate and receive
14 electronic signals.

15
16 7. The radio frequency identification device according to
17 claim 6 wherein the visibly perceptible information comprises
18 identification indicia of the radio frequency identification device.

19
20 8. The radio frequency identification device according to
21 claim 6 wherein the visibly perceptible information is less than about
22 50 mils in height.

1 9. The radio frequency identification device according to
2 claim 6 wherein the communication circuitry comprises transponder
3 circuitry.

4
5 10. The radio frequency identification device according to
6 claim 6 wherein the housing comprises a substrate and an encapsulant.

7
8 11. The radio frequency identification device according to
9 claim 10 wherein the visibly perceptible information is provided on the
10 encapsulant.

11
12 12. The radio frequency identification device according to
13 claim 6 further comprising a power source within the housing and
14 coupled with the communication circuitry.

15
16 13. A card comprising:
17 an upper surface;
18 a lower surface;
19 at least one side intermediate the upper and lower surfaces and
20 having a thickness less than about 100 mils; and
21 identification indicia on the side.

22
23 14. The card according to claim 13 wherein the identification
24 indicia is less than about 50 mils in height.

1 15. The card according to claim 13 wherein the identification
2 indicia identifies the card.

3
4 16. The card according to claim 13 wherein the identification
5 indicia comprises at least one of a manufacturing date of the card and
6 a lot number.

7
8 17. The card according to claim 13 wherein the card has a
9 thickness less than about 100 mils.

10
11 18. The card according to claim 13 further comprising:
12 transponder circuitry intermediate the upper and lower surfaces;
13 and

14 a processor intermediate the upper and lower surfaces and
15 coupled with the transponder circuitry.

1 19. A communication device comprising:
2 a substrate having a support surface;
3 an antenna on the support surface;
4 transponder circuitry coupled with the antenna;
5 a battery in electrical connection with the transponder circuitry;
6 a cured resin upon the support surface, the antenna, the
7 transponder circuitry and the battery, the cured resin and substrate
8 forming a housing having an upper surface and a lower surface
9 interconnected by side surfaces; and
10 identification indicia on at least one of the side surfaces of the
11 housing.

12
13 20. The communication device according to claim 19 wherein the
14 housing has a thickness less than about 100 mils.

15
16 21. The communication device according to claim 19 wherein the
17 identification indicia is provided on the resin.

18
19 22. The communication device according to claim 19 further
20 comprising a processor within the housing and coupled with the
21 transponder circuitry.
22
23
24

1 23. A method of forming a card comprising:
2 providing a substrate having:
3 an upper surface;
4 a lower surface, and the upper and lower surfaces
5 individually having a length and a width; and
6 a plurality of sides individually having a thickness less than
7 the lengths and the widths of the surfaces; and
8 encoding visibly perceptible information on at least one of the
9 sides.

10
11 24. The method of forming a card according to claim 23
12 wherein the thickness of the card is less than about 100 mils.

13
14 25. The method of forming a card according to claim 23
15 wherein the visibly perceptible information comprises identification
16 indicia.

17
18 26. The method of forming a card according to claim 23 further
19 comprising incorporating transponder circuitry with the substrate.
20
21
22
23
24

1 27. A method of forming a card comprising:
2 providing a card including an upper surface, a lower surface and
3 a plurality of sides;
4 providing a print head;
5 moving at least one of the card and the print head relative to
6 the other of the card and print head; and
7 using the print head, encoding visibly perceptible information on
8 at least one side of the card.

9
10 28. The method of forming a card according to claim 27
11 wherein the encoding is provided during the moving.

12
13 29. The method of forming a card according to claim 27
14 wherein the visibly perceptible information comprises identification
15 indicia.

16
17 30. The method of forming a card according to claim 27 further
18 comprising forming transponder circuitry within the card prior to the
19 encoding.

1 31. A method of forming a communication device comprising:
2 providing a first substrate;
3 providing an antenna supported by the first substrate;
4 coupling communication circuitry with the antenna on the first
5 substrate;

6 applying and curing an encapsulant over the first substrate to
7 form a composite substrate including the first substrate and the
8 encapsulant, the composite substrate having upper and lower surfaces
9 and at least one side surface extending therebetween; and

10 encoding visibly perceptible information on the side surface.
11

12 32. The method of forming a communication device according
13 to claim 31 wherein the encoding comprises encoding the visibly
14 perceptible information on the encapsulant.
15

16 33. The method of forming a communication device according
17 to claim 31 wherein the visibly perceptible information comprises
18 identification indicia.
19
20
21
22
23
24

1 34. A method of forming a remote intelligent communication
2 device comprising:

3 providing a substrate;

4 forming communication circuitry upon the substrate and configured
5 to at least one of communicate and receive electronic signals;

6 encapsulating the communication circuitry thereby forming a card-
7 thin housing with the substrate, the housing including an upper surface,
8 a lower surface, and at least one side extending between the upper and
9 lower surfaces; and

10 encoding visibly perceptible information on the side of the card-
11 thin housing.

12
13 35. The method of forming a remote intelligent communication
14 device according to claim 34 wherein the communication circuitry
15 comprises a power source.

16
17 36. The method of forming a remote intelligent communication
18 device according to claim 34 wherein the card-thin housing has a
19 thickness less than 100 mils.

20
21 37. The method of forming a remote intelligent communication
22 device according to claim 34 wherein the visibly perceptible information
23 comprises identification indicia.

24

1 38. The method of forming a remote intelligent communication
2 device according to claim 37 wherein the communication circuitry
3 comprises transponder circuitry configured to generate an identification
4 signal corresponding to the identification indicia.

5
6 39. A method of forming a communication device comprising:
7 providing a substrate;
8 supporting an antenna on the substrate;
9 coupling transponder circuitry with the antenna;
10 mounting a battery to the substrate in electrical connection with
11 the transponder circuitry;

12 encapsulating the antenna, the transponder circuitry, the battery
13 and at least a portion of the substrate with a flowable encapsulant;

14 curing the flowable encapsulant on the substrate into a solid
15 housing having an upper surface and lower surface interconnected by
16 side surfaces defining a housing thickness; and

17 encoding identification indicia on at least one of the side surfaces
18 of the housing.

19
20 40. The method of forming a communication device according
21 to claim 39 wherein the encoding comprises encoding the identification
22 indicia on the encapsulant.

1 41. The method of forming a communication device according
2 to claim 39 wherein the identification indicia identifies the
3 communication device.

4
5 42. A method of encoding visibly perceptible information on a
6 communication device comprising:

7 providing a card housing communication circuitry therein, the card
8 having upper and lower surfaces interconnected by side surfaces;

9 providing a print head;

10 supporting the card on one of the side surfaces;

11 moving the print head adjacent another side surface of the card;

12 and

13 encoding identification indicia on the another side surface of the
14 card with the moving print head.

15
16 43. The method of encoding visibly perceptible information on
17 a communication device according to claim 42 further comprising:

18 providing a plurality of said cards in a stack; and

19 printing on the card sides while the plurality of cards is in the
20 stack.

1 44. The method of encoding visibly perceptible information on
2 a communication device according to claim 42 further comprising:

3 providing a plurality of said cards in a pre-arranged orientation;
4 and
5 selecting one of the cards prior to the supporting.

6
7 45. The method of encoding visibly perceptible information on
8 a communication device according to claim 42 wherein the card has a
9 thickness less than about 100 mils.

10
11 46. A method of encoding visibly perceptible information on a
12 communication device comprising:

13 providing a card housing communication circuitry therein, the card
14 having upper and lower surfaces interconnected by side surfaces;

15 providing a print head;

16 moving the card relative to the print head; and

17 encoding identification indicia on at least one of the side surfaces
18 with the print head while moving the card relative to the print head.

19
20 47. The method of encoding visibly perceptible information on
21 a communication device according to claim 46 wherein the moving
22 comprises passing the card by the print head intermediate a pair of
23 driving processing rollers.
24

1 48. The method of encoding visibly perceptible information on
2 a communication device according to claim 46 wherein the card has a
3 thickness less than about 100 mils.

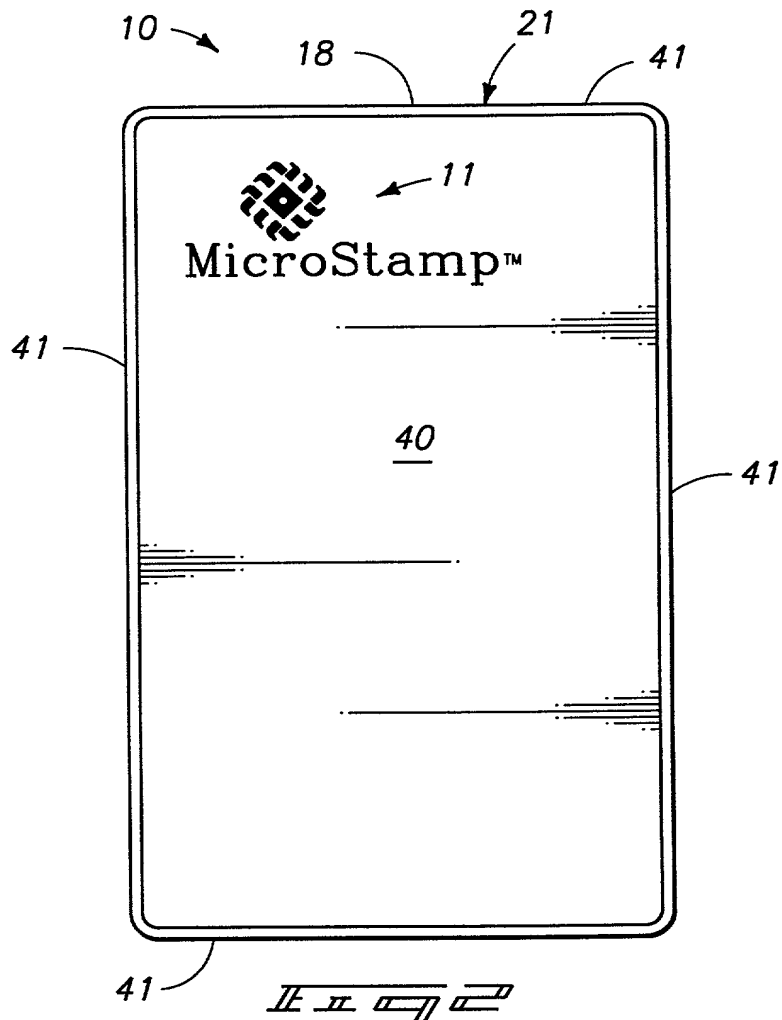
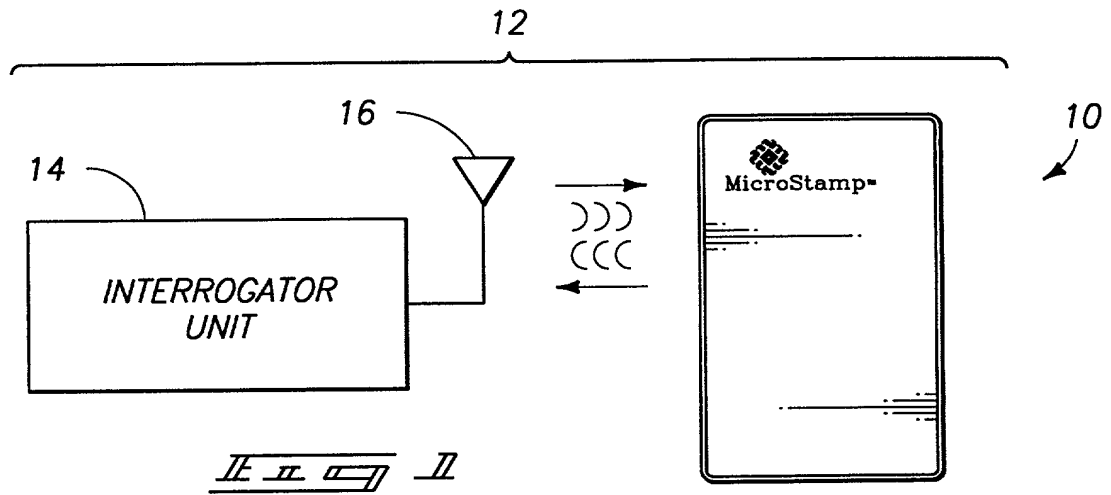
4
5 49. The method of encoding visibly perceptible information on
6 a communication device according to claim 46 wherein the print head
7 remains stationary relative to the moving card during printing.
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24

1 ABSTRACT

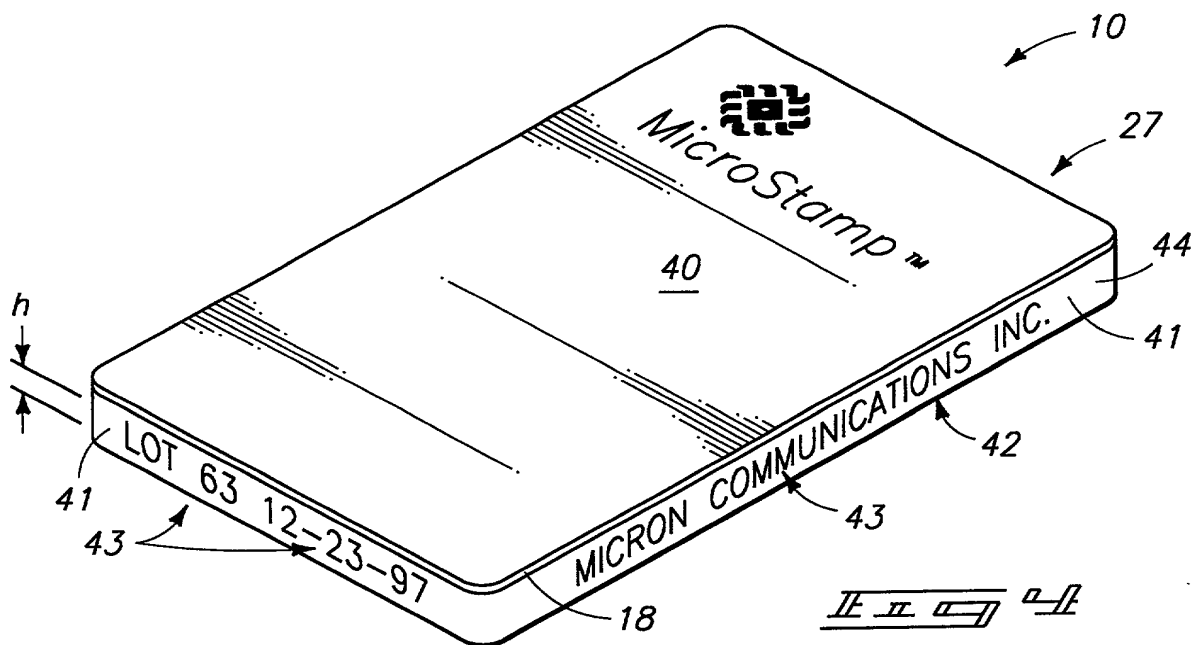
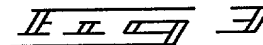
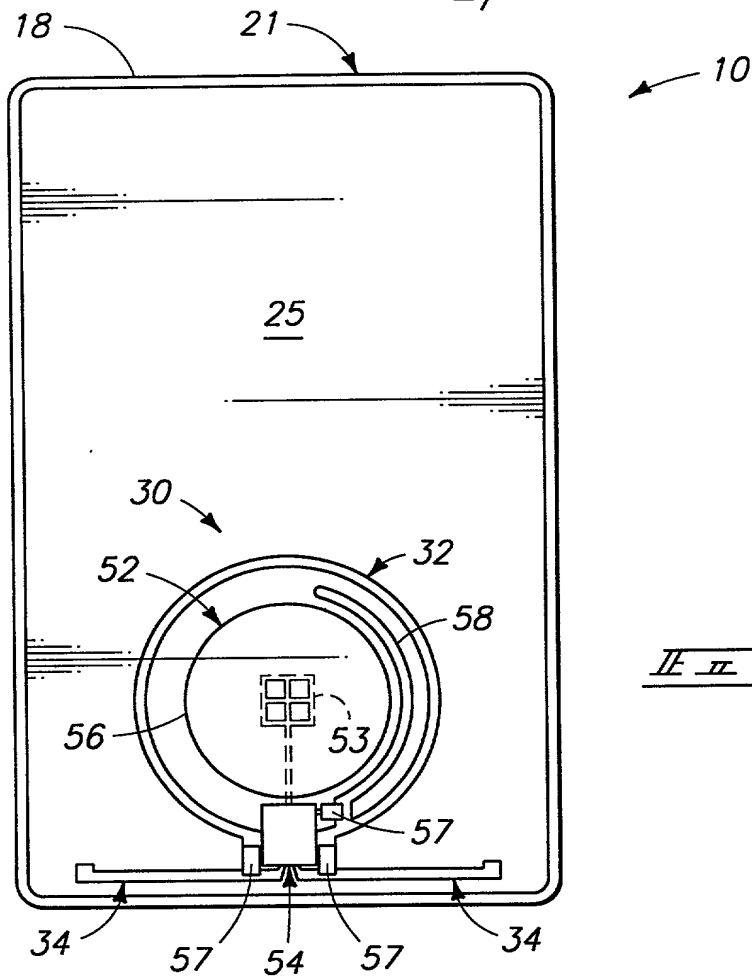
2 The present invention relates to cards, communication devices, and
3 methods of forming the same and encoding visibly perceptible
4 information on communication devices. A remote intelligent
5 communication device includes: a card-thin housing including: an upper
6 surface; a lower surface; and at least one side extending between the
7 upper surface and the lower surface forming the card-thin housing, the
8 side having visibly perceptible information thereon; and communication
9 circuitry within the housing configured to at least one of communicate
10 and receive electronic signals. A method of forming a card includes:
11 providing a substrate having: an upper surface; a lower surface, and
12 the upper and lower surfaces individually having a length and a width;
13 and a plurality of sides individually having a thickness less than the
14 lengths and the widths of the surfaces; and encoding visibly perceptible
15 information on at least one of the sides.

16
17
18
19
20
21
22
23
24

1/3



2/3



3/3

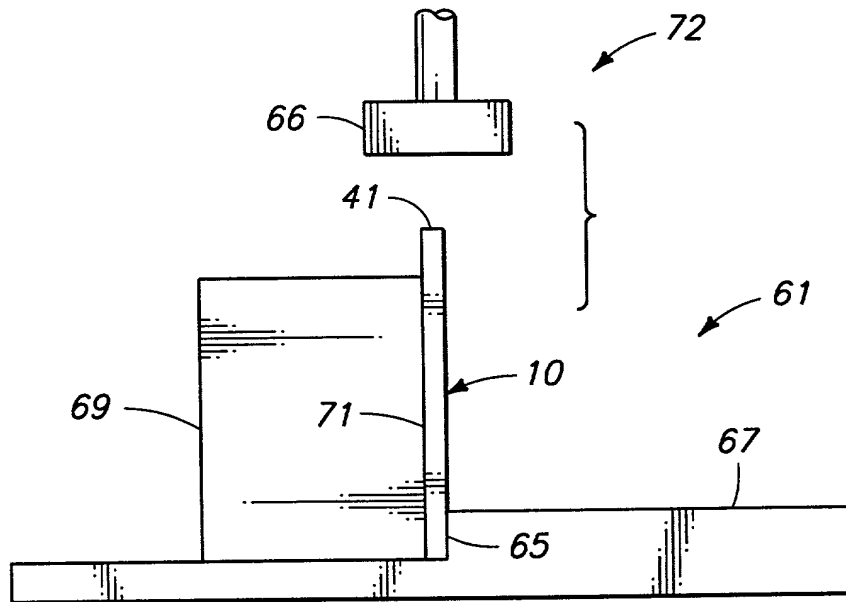


FIG 5

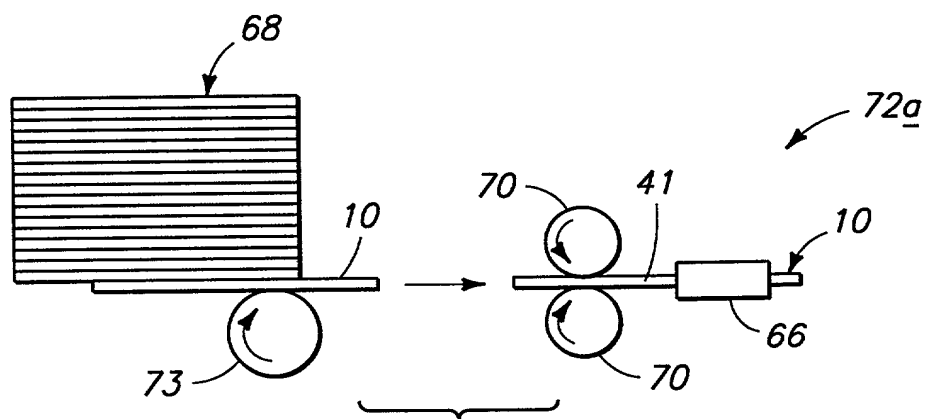


FIG 6

DECLARATION OF SOLE INVENTOR FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: Cards, Communication Devices, and Methods of Forming and Encoding Visibly Perceptible Information on the Same, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

PRIOR FOREIGN APPLICATIONS:

I hereby state that no applications for foreign patents or inventor's certificates have been filed prior to the date of execution of this declaration.

POWER OF ATTORNEY:

As a named Inventor, I hereby appoint the following attorneys and agent to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Richard J. St. John, Reg. No. 19,363; David P. Roberts, Reg. No. 23,032; Randy A. Gregory, Reg. No. 30,386; Mark S. Matkin, Reg. No. 32,268; James L. Price, Reg.

1 No. 27,376; Deepak Malhotra, Reg. No. 33,560; Mark W. Hendricksen,
2 Reg. No. 32,356; David G. Latwesen, Reg. No. 38,533; George G.
3 Grigel, Reg. No. 31,166; Keith D. Grzelak, Reg. No. 37,144; John S.
4 Reid, Reg. No. 36,369; Lance R. Sadler, Reg. No. 38,605; James D.
5 Shaurette, Reg. No. 39,833; Lia Pappas Dennison, Reg. No. 34,095; and
6 Michael L. Lynch, Reg. No. 30,871.

7 Send correspondence to: WELLS, ST. JOHN, ROBERTS,
8 GREGORY & MATKIN P.S., 601 W. First Avenue, Suite 1300, Spokane,
9 WA 99204-0317. Direct telephone calls to: Mark S. Matkin
10 (509) 624-4276.

11 I hereby declare that all statements made herein of my own
12 knowledge are true and that all statements made on information and
13 belief are believed to be true; and further that these statements were
14 made with the knowledge that willful false statements and the like so
15 made are punishable by fine or imprisonment, or both, under
16 Section 1001 of Title 18 of the United States Code and that such willful
17 false statement may jeopardize the validity of the application or any
18 patent issued therefrom.

19 * * * * *

